

Molecular orbital evaluation of charge flow dynamics in natural pigments based photosensitizers

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Abstract The relationship between structure and photo electrochemical property of ten natural pigments from plants, insects and microbes has been analyzed using density functional theory (DFT) at the B3LYP/6-31G(d) level. The essential parameters for their photoelectrochemical behaviour such as ground state geometries, electronic transition energies and oxidation potentials are computed. The attachment tendency of the anchoring groups, expressed as the deprotonation order, is determined by calculating the proton affinities at different sites of the molecules. A thorough analysis of the charge flow dynamics in the molecular orbitals (HOMO and LUMO) of these molecules has been carried out and presented to emphasize the role of these orbitals in effective charge separation, the important feature of photosensitizers for DSSC. This study highlights that the flexible spatial orientation provided by the bridging aliphatic unsaturation favours the oscillator strength and the hydroxyl anchor group attached to the ring of delocalized π electron cloud acts as the effective anchor.

Keywords Charge flow dynamics · DFT · Electronic transition energy · Photosensitizers · Proton affinity

Introduction

Solar electricity is the dependable clean energy of the future. Dye-sensitized solar cell (DSSC), based on porous nanocrystalline TiO_2 is considered as low-cost and high efficiency solar electricity system [1, 2]. The favourable

light absorption by photosensitizer and the charge-carrier transport to the semiconductor are separated in DSSCs. A potential photosensitizer for DSSC has to absorb all radiations in the visible region along with that of the UV and IR ranges of wavelength also. This has thrust focus on the identification of efficient dye to harvest a larger region of the solar spectrum [3]. In addition, it must attach to the semiconductor oxide surface and upon excitation it should inject electrons into the solid with a quantum yield of unity [4]. Also, the energy level of the excited state should be well matched to the lower bound of the conduction band of the oxide to minimize energetic losses during the electron transfer reaction. Its redox potential should be sufficiently high that it can be regenerated *via* electron donation from the redox electrolyte or the hole conductor. All these essential properties of a photosensitizer are structure-based and several natural dyes possess such favourable photo properties. Hence in order to correlate between the structure and photoelectrochemical properties, the theoretical analysis of ten naturally available dyes is carried out in this study to infer on the structure-implied coupling between the highest occupied molecular orbital (HOMO) and lowest unoccupied molecular orbital (LUMO), ground state geometry, HOMO and LUMO potentials, deprotonation order and charge flow dynamics. The time-dependent density functional theory (TD-DFT) developed by Runge and Gross [5] has become a widely used *ab initio* tool for theoretically evaluating excited state energies and more recently excited state geometries [6] and hence we have employed the same in this study.

Dyes from natural sources

Naturally available dyes have been successfully used in the textile industries. There are many dyes with fastness to

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sunlight and durability. Dyes obtained from natural products have been used as photosensitizers with acceptable efficiency. Several reports [7–12] have emphasized the use of natural extracts as a cheaper, faster, low-energy requiring and environmental friendly alternative for use in dye-sensitized solar cells [13–21]. Natural pigments from plants such as chlorophyll [13], carotenoids [8] and anthocyanins [8–10] have been extensively studied as photosensitizers for the DSSC. Hence motivated by the wide spread applications of natural pigments, this study has been undertaken to theoretically evaluate some of the unexplored natural pigments as photosensitizers for their possible application in DSSC. A choice of ten dyes from assorted sources such as plants, insects and microbes has been made.

They are caffeic acid, ferulic acid, ellagic acid, deoxysantalol, resveratrol (plant source), carminic acid, kersemic acid, ommatin D (insects), methoxatin and caulerpinic acid (microbes). Their structural formulae are given in Fig. 1.

Computational methods

The optimization of geometries of the singlet ground state of the above ten natural pigments was carried out using DFT [22, 23] calculations with B3LYP/6-31G (d) [24] basis set. DFT method has been chosen in this study due to the fact that the geometry optimizations are faster than that by the MP methods [25]. The choice of B3LYP functional was

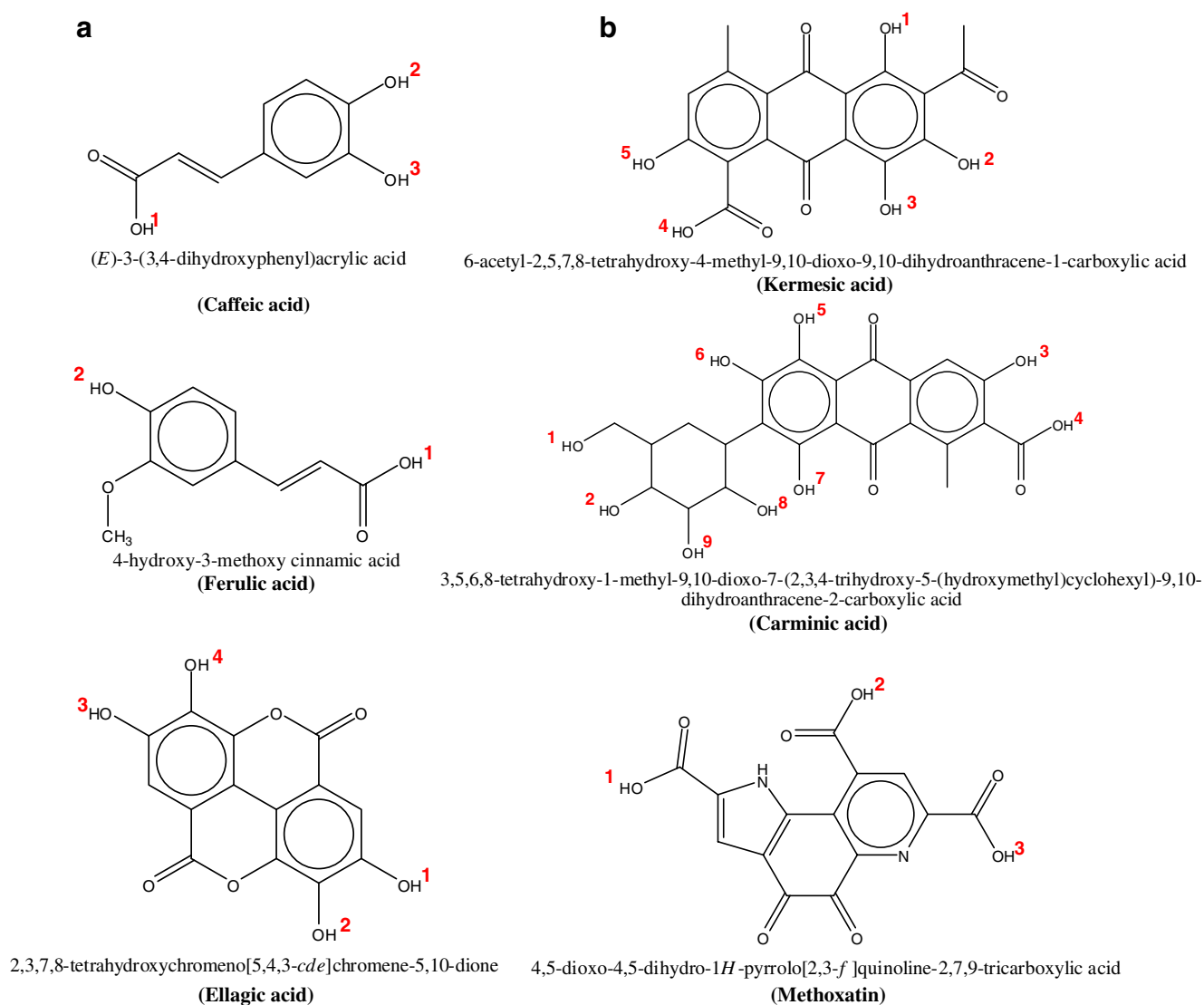


Fig. 1 **a** Structure of caffeic acid, ferulic acid and ellagic acid with their sites of deprotonation indicated by the superscripts in red. **b** Structure of kermesic acid, carminic acid and methoxatin with their sites of deprotonation indicated by the superscripts in red. **c** Structure

of resveratrol and caulerpinic acid with their sites of deprotonation indicated by the superscripts in red. **d** Structure of ommatin D and deoxysantalol with their sites of deprotonation indicated by the superscripts in red

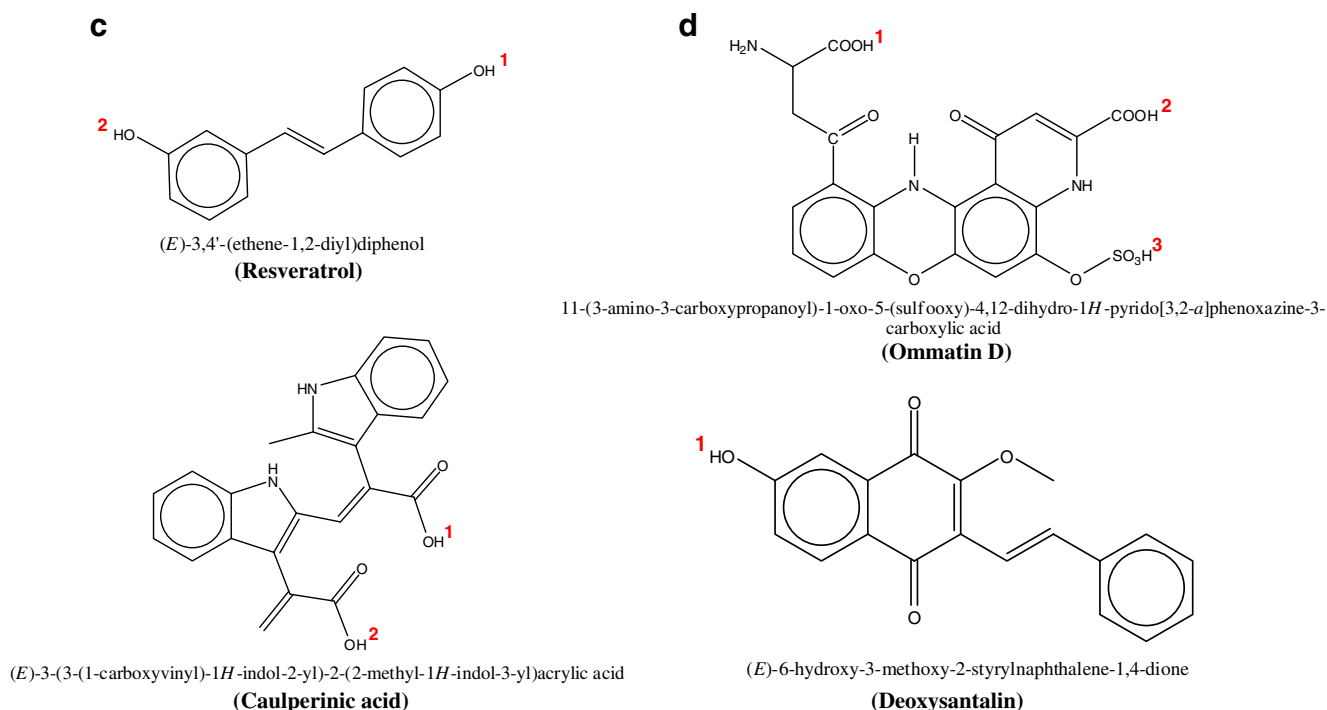


Fig. 1 (continued)

made after attempting with several high level calculations, as B3LYP showed convergence of wavelength maximum closer to the experimental values (within 10% deviation in most of the cases) than the other methods with minimum computational time. The theoretical evaluation of the electron density in the HOMO and LUMO of the dye molecules on photoexcitation has been carried out. The oxidation potentials, excitation energies and oscillator strengths at the optimized geometry in the ground state were determined using the time dependent DFT (TDDFT) calculations with the same basis set. The deprotonation order in terms of proton affinity (PA) has been evaluated at B3LYP/6-31G (d) level. The models of charge flow in the HOMO and LUMO have been visualized in Gauss view and presented. All calculations have been performed with the Gaussian 03 package [26].

Results and discussion

Ground state geometries and optical properties

It is important to have accurate information about the electronic structure of the natural pigments to gain insight into their photo induced electrochemical behaviour. The ground state geometries of the ten natural pigments selected for the present study were optimized in order to reveal their most probable electronic structure. The optimized stable

structures of the natural pigments were analyzed to assess the structural contribution of the molecule to its property. The first optically allowed electronic transitions of all the pigments are predicted to populate the 2^1A states (a HOMO \rightarrow LUMO transition). The redox and other important characteristics of the pigments such as dominant configuration coefficient and oscillator strength have been evaluated by TDDFT and are summarized in Table 1. An important thermodynamic requirement of the dyes to be used in DSSC technology is that the HOMO level of the dye has to be sufficiently positive in the redox potential for efficient regeneration of the oxidized dye molecule to its original state by the hole conductor and the LUMO energy of the dye has to be sufficiently higher than the conduction band edge of the semiconductor (E_{CB}). The energies of HOMO and LUMO calculated for these natural pigments are given in Table 1. Importantly, the calculated excited state energies are all higher than the conduction band edge of TiO_2 , the most widely used semiconductor for DSSC and hence, a thermodynamically favourable excited state electron injection from the dyes to TiO_2 is expected. The oxidation potential of HOMO and LUMO could be deduced by referencing the orbital energies (eV) to standardized electrode, NHE/SCE. This could be accomplished by shifting all predicted values by a common constant as is the general protocol for these comparisons [27, 28]. However as a direct method, the energy values of HOMO and LUMO levels of the dyes as compared with the band energies of TiO_2 [29] are illustrated

Table 1 Redox and spectral characteristics, dominant configuration coefficients (C) and oscillator strength (f) of natural pigments by TDDFT

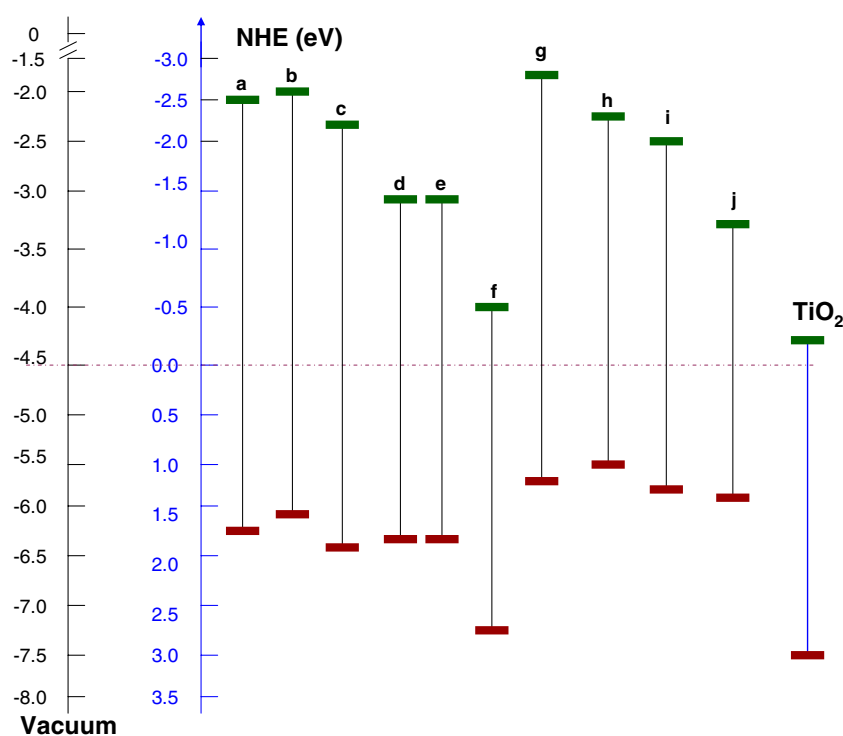
Pigments	Energy (eV)		λ_{\max} (nm)		C	f
	HOMO	LUMO	Theoretical	Experimental		
Caffeic Acid	-6.29	-2.08	316	326 [30]	0.59	0.33
Ferulic Acid	-6.14	-2.02	317	307 [31]	0.62	0.43
Ellagic Acid	-6.49	-2.32	337	355 [32]	0.64	0.11
Kermesic acid	-6.39	-3.12	444	490 [33]	0.65	0.14
Carminic acid	-6.38	-3.13	444	495 [33]	0.65	0.17
Methoxatin	-7.26	-4.02	388	342 [34]	0.66	0.23
Resveratrol	-5.60	-1.65	333	326 [35]	0.63	0.87
Caulerpinic acid	-5.47	-2.14	435	309 [36]	0.64	0.19
Ommatin D	-5.73	-2.57	469	490 [37]	0.68	0.12
Deoxysantalín	-5.96	-3.28	543	*	0.63	0.16

*The experimental λ_{\max} is not available. The theoretical λ_{\max} (543 nm) is well within acceptable range, as deoxysantalín is reddish purple in colour and the reddish purple coloured solutions usually show their λ_{\max} in the range of 490–545 nm.

in Fig. 2. From the excited state energies of these pigments, it can be inferred that all these pigments have sufficient driving force for electron injection to the conduction band of TiO_2 . The theoretically predicted and experimentally observed wavelengths of maximum absorbance (λ_{\max}) of these pigments are given in Table 1. Close matching between the expected and theoretical λ_{\max} values has been observed for many of the molecules (within 10% deviation). Deviation in large molecules could be due to additional forces and steric strain that might prevail in their structures. In the case of methoxatin and caulerpinic acid, the deviations between theoretical and experimental λ_{\max} values are 46 nm and 126 nm respectively and their experimental values are blue-shifted. In the case of kermesic acid and carminic acid, the

deviations are 46 nm and 51 nm respectively and their λ_{\max} values are red-shifted. It is observed that molecules having hetero atoms with lone pair of electrons deviate the λ_{\max} values hypsochromically or bathochromically. If the hetero atom is a member of the ring and involved in conjugation it is observed to shift hypsochromically (methoxatin and caulerpinic acid) and if it is attached to the ring containing delocalized pi electron cloud, a bathochromic shift (kermesic acid and carminic acid) is observed. If the hetero atom does not contribute to the HOMO-LUMO transition (Ommatin D, Table 5), it does not affect the λ_{\max} value significantly. These discrepancies are generally due to charge transfer character of the corresponding transition. In particular, the blue shift occurs when the lone pair of electrons on the nitrogen atom

Fig. 2 HOMO (red colour) and LUMO (green colour) energies of photosensitizers compared with the band position of TiO_2 . (a) Caffeic acid (b) Ferulic acid (c) Ellagic acid (d) Kermesic acid (e) Carminic acid (f) Methoxatin (g) Resveratrol (h) Caulerpinic acid (i) Ommatin D (j) Deoxysantalín. The energy scale in electron volts referenced to the normal hydrogen electrode (NHE) or the vacuum level are also shown



shows a diminished contribution to the conjugation due to charge transfer, ring strain and spatial orientation. The red shift could be attributed to the possibility of the keto-enol tautomeric form of the quinonoid rings during charge transfer, facilitating the auxochrome effect of the enolic group. TDDFT calculations provide dependable λ_{\max} values for simple molecules. In large molecules with heteroatoms, TDDFT calculations coupled with flow visualization could lead to better understanding of the systems with tendency to deviate. The oscillator strength reflects the strength of transition from HOMO to LUMO and is used as a valuable tool for comparing transitions. The oscillator strength is high for resveratrol followed by ferulic acid, caffeic acid, methoxatin, carminic acid *etc.*

Generally, the configuration coefficients of interest are those which minimize the energy or belong to some selected eigen value [38]. In this study, the energy of the system, with interactions of the MOs involved in the dominant transition (HOMO and LUMO) has been considered for evaluating the configuration coefficient. It could be observed that the configuration coefficient of the dominant transitions of all the dyes studied, showed a value of ~ 0.60 and higher. The configuration coefficient of all other transitions was very low. It could be inferred that while a high configuration coefficient of the selected eigen values of the wave functions (HOMO and LUMO) could indicate the dominant transition, the usefulness of the pigments as photosensitizer is revealed by the high value of the oscillator strength.

Deprotonation orders

A requirement for the ideal dye structure in DSSCs is that it possesses several carbonyl or hydroxyl groups capable of chelating to the Ti (IV) sites on the titanium dioxide surface. Hence, we have examined the deprotonation order

by comparing the energy difference between the optimized protonated and deprotonated structures (proton affinity, PA) [39]. As observed from Table 2, the proton affinity of the anchoring group varies from one molecule to the other and within the same molecule, from one structural environment to the other, bringing to the fore the strong dependence between the structure and the deprotonation order. The deprotonation order of the dyes reveals the most probable site of anchoring by the low values of PA. All the molecules studied are non-planar and hence spatial orientation and their environment could affect the deprotonation order. In the case of caffeic acid, the deprotonation order of hydrogen labelled 2 and 3 are almost similar and hence the anchoring to the semiconductor (TiO_2) can be expected at both these -OH groups. In the case of ferulic acid, anchoring at proton labelled 1 is favoured over that at 2 due to the low deprotonation energy ($345.01 \text{ kcal mol}^{-1}$). In ellagic acid, all the protons are favourable for anchoring as they show similar deprotonation tendency. The proton labelled 2 in kermesic acid shows the anchoring tendency due to its low deprotonation energy, and also the feasibility for mononuclear bidentate coordination structure involving the flexible carbonyl group on the neighbouring carbon [40]. In carminic acid, the deprotonation sites labelled 5 and 6 show better anchoring property. In methoxatin, proton labelled 2 shows higher deprotonation tendency. In resveratrol the replaceable symmetrical protons show equal tendency for anchoring as revealed by their similar deprotonation order $\sim 690.61 \text{ kcal mol}^{-1}$. In caulerpinic acid, the proton labelled 1 shows ($373.91 \text{ kcal mol}^{-1}$) relatively better tendency for anchoring. In ommatin D protons of $-\text{SO}_3\text{H}$ (Labelled 3) has the lowest proton affinity and hence favourable for anchoring. In deoxysantalinalin, the only hydroxyl group proton shows efficient anchoring as revealed by charge flow in the HOMO and LUMO.

Table 2 Deprotonation order of the photosensitizers in terms of proton affinity (PA) by TDDFT

Photosensitizer	Deprotonation order (PA in Kcal/mol)									
	($-\text{H}_1^+$)	($-\text{H}_2^+$)	($-\text{H}_3^+$)	($-\text{H}_4^+$)	($-\text{H}_5^+$)	($-\text{H}_6^+$)	($-\text{H}_7^+$)	($-\text{H}_8^+$)	($-\text{H}_9^+$)	
Caffeic Acid	488.78	328.84	351.44	-	-	-	-	-	-	
Ferulic Acid	345.01	420.32	-	-	-	-	-	-	-	
Ellagic Acid	322.44	335.29	334.77	337.22	-	-	-	-	-	
Kermesic acid	344.18	329.32	347.27	335.59	578.02	-	-	-	-	
Carminic acid	380.78	371.54	341.75	516.79	325.03	309.95	353.07	366.11	374.71	
Methoxatin	703.46	342.86	849.94	-	-	-	-	-	-	
Resveratrol	690.61	690.62	-	-	-	-	-	-	-	
Caulerpinic acid	373.91	912.24	-	-	-	-	-	-	-	
Ommatin D	356.87	701.02	301.21	-	-	-	-	-	-	
Deoxysantalinalin	682.08	-	-	-	-	-	-	-	-	

HOMO-LUMO coupling and charge transfer

The HOMO and LUMO of all the pigments are given in Table 3, 4 and 5. In caffeic acid, both –OH groups on the benzyl ring are capable of providing good anchor to the semiconductor due to their predominant role in charge flow. This is also revealed by the high values of the bond length (0.9706 Å, 0.9701 Å) of the –OH bond (Table 6) as against the general equilibrium value of 0.956 ± 0.015 Å. The –COOH group's contribution to the MOs is nil (Table 3). The delocalized pi electron cloud in benzene gets channeled towards the –COOH anchoring group (0.9707 Å) in ferulic acid. Under the influence of the high charge density on oxygen of –OH and –OCH₃ groups, the hydroxyl proton is not providing the anchor as also supported by HOMO and LUMO. Proton of the –COOH group with low PA could act as the anchor to the semiconductor. The extent of polarization of LUMO is very limited in ellagic acid due

to the higher symmetry of its HOMO. Charge distribution is more uniform and hence not highly favourable for injection of electron to the conduction band of the semiconductor as also evidenced by the oscillator strength (Table 1). The anthroquinone skeleton of kermesic acid favours the charge flow to the three –OH groups directly attached to the benzyl ring. Charge flow is more favoured towards –OH group with proton labelled 2. Correlation between PA and the charge flow characteristics in MOs indicate proton labelled 2 as the anchor group. Though LUMO has distinct polarized overlap of molecular orbitals of the –OH groups and the benzyl ring (Table 4) there is higher charge density in the molecule than towards the anchor which is also revealed by the oscillator strength. In carminic acid, the absence of unsaturation in the ring containing the protons labelled 1, 2, 8 and 9 eliminate these for anchoring. Due to the intramolecular H-bonding between the –OH groups labeled 5 and 6, charge flow is

Table 3 HOMO and LUMO of caffeic acid, ferulic acid and ellagic acid



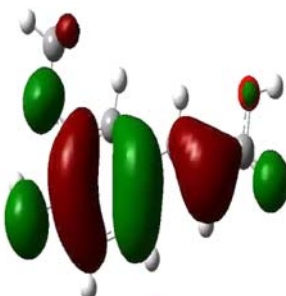
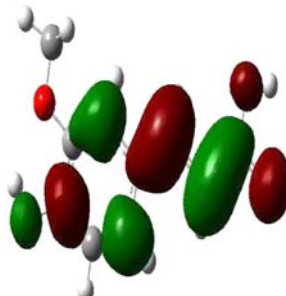
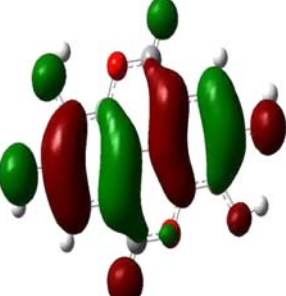

Pigment	HOMO	LUMO
Caffeic acid		
Ferulic acid		
Ellagic acid		

Table 4 HOMO and LUMO of kermesic acid, carminic acid, methoxatin and resveratrol

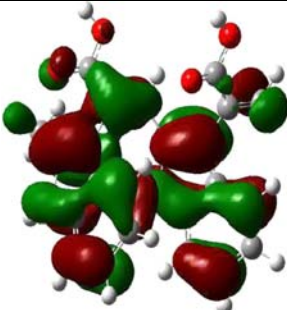
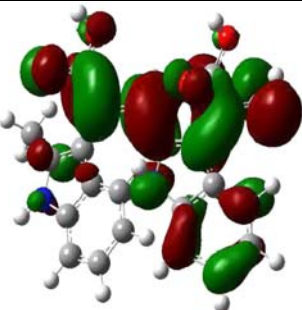
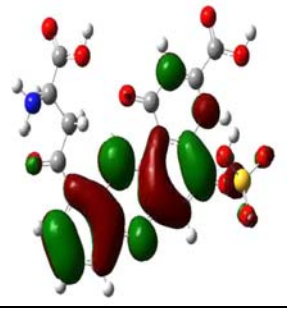
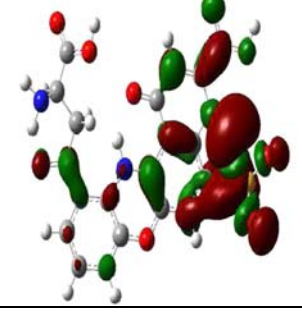
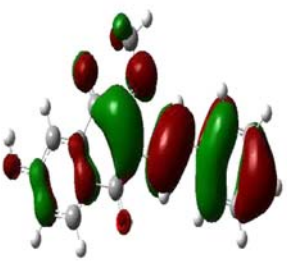
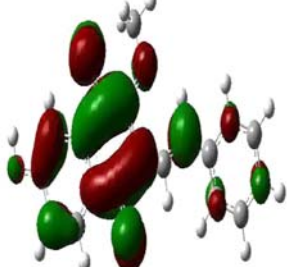
Pigment	HOMO	LUMO
Kermesic acid		
Carminic acid		
Methoxatin		
Resveratrol		

restricted (Fig. 1b). Similar electron density around –OH (5 and 6) protons both in HOMO and LUMO indicates the presence of a stabilizing force which could be attributed to the hydrogen bonding. Similarly the H-bond between 5th and 7th OH group protons each with the adjacent carbonyl group is also probable as revealed by near equal electron density present in HOMO and LUMO caused by such stabilization. Hence protons labelled 3 and 4 are involved

in anchoring as supported by the charge flow patterns. Proton 3 is more favoured for anchoring by its low PA.

Strong coupling between the pi electrons of the pyridyl ring and the two –COOH groups with protons labelled 1 and 3, makes the electron cloud delocalization to the anchoring group less feasible in methoxatin. Proton with label 2 is a relatively better anchor with its low PA (342.86 kcal mol⁻¹). Migration of electron cloud towards

Table 5 HOMO and LUMO of caulperinic acid, ommatin D and deoxysantalín

Pigment	HOMO	LUMO
Caulperinic acid		
Ommatin D		
Deoxysantalín		

the anchoring group is very strong and well mediated by the bridging configuration in the case of resveratrol (Table 4). In the case of caulperinic acid, the migratory aptitude of the electron density in heteronuclear ring is good but it results in the strong coupling between the methylene group and $-\text{COOH}$ group leading to a weak deprotonation of proton labelled 2. Charge flow in LUMO towards carboxyl proton 1 is evident from Table 5 and also supported by the low PA. In Ommatin, the absence of extended conjugation eliminates the anchoring through the proton labelled 1. Proton 2 is well stabilized by the pyrido ring making proton 3 the favourable site of anchoring. This is evident from the charge flow pattern in the LUMO. However, the oscillator strength is low in ommatin D due to the coupling between $-\text{O}-\text{SO}_3\text{H}$ and $-\text{NH}-$ groups and hence the electron density does not rest on the anchoring group (Table 5). In deoxysantalín the delocalization of the electron cloud centered on the benzoquinone moiety is only

partial as it contributes to both HOMO and LUMO. This suppresses the effective charge transfer.

The bond length of the anchor groups has been evaluated for the optimized geometry of the ten photosensitizers (Table 6). Correlation of the charge flow, PA and the bond lengths reveal that the $-\text{OH}$ groups are the better anchoring groups in the photosensitizers studied than $-\text{COOH}$, under otherwise similar structural environment. This is because binding *via* the hydroxyl group results in a very strong chromophore-semiconductor coupling as only a single oxygen atom separates the chromophores from the semiconductor. The chromophore-semiconductor coupling decreases with carboxyl binding when compared to hydroxyl groups [41]. In all the natural pigments studied, the bond length of the anchoring

$-\text{OH}$ proton has been observed to be about 0.971 Å, but other non-anchoring $-\text{OH}$ protons also showed this value of (about 0.971 Å) bond length. Hence it could be indicated

Table 6 Optimized bond length of the anchoring groups of the photosensitizers

Photosensitizer	Label of replaceable proton and its bond length (Å)				
	1	2	3	4	5
Caffeic acid	–COOH 0.9723	–OH 0.9706	–OH 0.9701	-	-
Ferulic acid	–COOH 0.9707	–OH 0.9710	-	-	-
Ellagic acid	–OH 0.9701	–OH 0.9715	–OH 0.9709	–OH 0.9719	-
Kermesic acid	–OH 0.9674	–OH 0.9666	–OH 0.9710	–COOH 0.9702	–OH 0.9691
Methoxatin	–COOH 0.9705	–COOH 0.9704	–COOH 0.9708	-	-
Resveratrol	–OH 0.9710	–OH 0.9711	-	-	-
Caulerpinic acid	–COOH 0.9707	–COOH 0.9707	-	-	-
Ommatin D	–COOH 0.9711	–COOH 0.9703	–OSO ₃ H 0.9399	-	-
Deoxysantalol	–OH 0.9709	-	-	-	-
Carminic acid ⁺	–OH 0.9600	–OH 0.9618	–OH 0.9707	–COOH 0.9708	–OH 0.9709
	6 ⁺ –OH 0.9709	7 ⁺ –OH 0.9702	8 ⁺ –OH 0.9654	9 ⁺ –OH 0.9597	-

⁺ Replaceable protons labelled 6–9 on Carminic acid are appended.

that –OH bond length of about 0.971 Å associated with the low PA value could form the anchoring group in the dye molecule. As observed from Tables 3, 4 and 5, there is clear shift in the electron density in the frontier molecular orbitals (MOs) during HOMO → LUMO photoexcitation from the core of the molecule to the anchoring group in all pigments studied. Such movement of electron distribution will favour electron injection from the dye to semiconductor.

Conclusions

The ground state geometries, electronic structures, photoelectrochemical redox characteristics, deprotonation energies, bond length of anchoring groups and the charge flow between the HOMO- LUMO of the ten natural dye pigments have been evaluated by TDDFT calculations. The results show that the dominant electronic transitions populate the 2¹A state (a HOMO → LUMO transition). The electron distribution before the light irradiation locates mainly on the donor units; whereas after light irradiation it moves to the acceptor units close to the anchoring groups, which favours the electron injection from the dye molecules to the conduction band edge of TiO₂. The ground state oxidation potentials and the excited state oxidation potentials reveal that the electron transfer from the dye molecules

to the TiO₂ conduction band is thermodynamically favourable in all the pigments studied. This study highlights that the –OH group attached to the ring of delocalized π electron cloud acts as the best anchor. The flexible spatial orientation provided by the ethylene bridge to the light absorbing moiety as in the case of resveratrol is the additional favoured feature. Based on HOMO-LUMO coupling and the oscillator strength resveratrol emerges as the potential photosensitizer for application in DSSC. Also ferulic acid, caffeic acid and methoxatin find theoretical merit to be used as photosensitizers. A recent study [42] on dye sensitized solar cell using carminic acid as the photosensitizer has reported an open circuit voltage (V_{oc}) of 0.34 V and short circuit current (I_{sc}) of 390 μA. Our theoretical study has brought to light the other potential photosensitizers also such as resveratrol, ferulic acid, caffeic acid and methoxatin for efficient application in DSSC due to their favourable wavelength maximum much compatible with TiO₂ and their high oscillator strength. If the oscillator strength is high, then the electron excitation is highly favoured and hence such molecules could efficiently play the role of the photosensitizer.

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